



## Lifting $N_\infty$ operads from conjugacy data

Scott Balchin, Ethan MacBrough and Kyle Ormsby

We isolate a class of groups — called *lossless groups* — for which homotopy classes of  $G$ - $N_\infty$  operads are in bijection with certain restricted transfer systems on the poset of conjugacy classes  $\text{Sub}(G)/G$ .

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### 1. Introduction

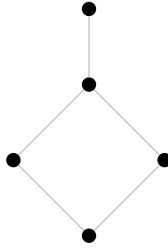
The concept of an  $N_\infty$  operad, as introduced by Blumberg and Hill [2015], provides an equivariant analogue of  $E_\infty$  operads which captures multiplicative norm maps on equivariant commutative ring spectra. Further work by various authors proved that homotopy category of  $N_\infty$  operads can be identified with far simpler structures called indexing systems [Bonventre and Pereira 2021; Gutiérrez and White 2018; Rubin 2021a]. This was then distilled into the identification of *transfer systems* which are purely combinatorial representations of  $N_\infty$  operads on the subgroup lattice of the group in question [Balchin et al. 2021; Rubin 2021b]. This has led to computable approaches to understand the structures of  $N_\infty$  operads for a given group.

In [Balchin et al. 2021], the collection of  $N_\infty$  operads for the cyclic groups  $C_{p^n}$  were classified via the use of transfer systems on the lattice  $\text{Sub}(C_{p^n}) \cong [n]$ . This concept was abstracted in [Franchere et al. 2022] where the notion of transfer systems was developed for an arbitrary finite poset, and shown in particular to be in bijection with weak factorization systems when the poset was moreover a complete

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**Figure 1.** A lattice which is not of the form  $\text{Sub}(G)$  for any  $G$ .

lattice. This approach has already provided fruitful results such as classifications of model structures on total orders in [Balchin et al. 2023].

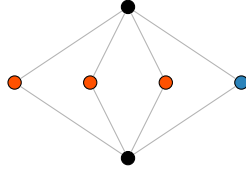
This abstraction provides a strict generalization. Indeed, in [Jakovlev 1974], those lattices  $L$  which arise as  $\text{Sub}(G)$  for an arbitrary group  $G$  are classified (this was refined to the Abelian case in [Conĵiu 2011]). For example, the lattice in Figure 1 does not appear as  $\text{Sub}(G)$  for any  $G$ .

In this paper, we move back to the roots of the classification of  $N_\infty$  operads, with a view to improve the computational tools available. In particular, we will make the first serious foray into the realm of non-Abelian groups. In the definition of  $N_\infty$  operads, conjugation must be taken into account. One may naively hope that this is superfluous, and that one can just work with transfer systems on the poset  $\text{Sub}(G)/G$ , that is, the collection of subgroups up to conjugacy. This, however, does not work in general.

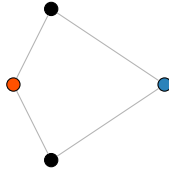
Indeed, this is already noted in an example of Rubin [2021b], as we now recall. If one considers the symmetric group  $\mathfrak{S}_4$ , then there are three conjugate copies of  $D_4$  (the dihedral group of order 8) living inside it. Moreover, there are three double-transpositions in  $\mathfrak{S}_4$  which generate three conjugate copies of  $C_2$ . It follows that to define a transfer system for  $\mathfrak{S}_4$  it is not enough to just declare that we have the relation  $C_2\mathcal{R}D_4$ , we must also keep track of which copies of  $C_2$  are related to which copies of  $D_4$ , something that is lost when working up to conjugacy.

There are cases, however, where it is possible to work up to conjugacy; again, such an example is observed by Rubin [2021b]. Moving down in the world of symmetric groups, consider  $G = \mathfrak{S}_3$ . The subgroup lattice here takes the form displayed in Figure 2.

The key point is that we can treat all copies of  $C_2$  as essentially being the same subgroup. We refer the reader to Definition 2.1 for the definition of a transfer system for what follows. Suppose that we have a transfer system with the relation  $1\mathcal{R}\langle(12)\rangle$ . As transfer systems are required to be closed under conjugation, this implies that we necessarily have  $1\mathcal{R}\langle\tau\rangle$  for every transposition  $\tau$ . Dually if we have  $\langle(12)\rangle\mathcal{R}\mathfrak{S}_3$  then we also have  $\langle\tau\rangle\mathcal{R}\mathfrak{S}_3$  for every transposition  $\tau$ . Next, we use that fact that in a transfer system if we have  $HRK$  and  $LRK$  then we also have



**Figure 2.** The lattice  $\text{Sub}(G)$  for  $G = \mathfrak{S}_3$ . A red node is a copy of  $C_2$ , while the blue node is a copy of  $C_3$ .



**Figure 3.** The lattice  $\text{Sub}(G)/G$  for  $G = \mathfrak{S}_3$ . The red node is a copy of  $C_2$  up to conjugacy, while a blue node is the copy of  $C_3$ .

$(H \cap L)\mathcal{R}K$ . In particular, if we remember that we really have three distinct copies of  $C_2$ , it follows that if we have  $\langle(12)\rangle\mathcal{R}\mathfrak{S}_3$ , then we also have  $\langle(23)\rangle\mathcal{R}\mathfrak{S}_3$ , and as such we have  $1\mathcal{R}\mathfrak{S}_3$  by this intersection property.

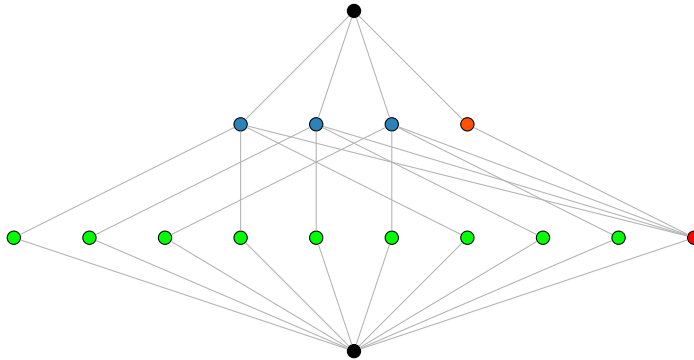
It turns out that this is the only condition that one needs to impose in this case. So we may do exactly as we want, study transfer systems on  $\text{Sub}(G)/G$ , which is of the form Figure 3.

Any transfer system on this lattice (of which there are 10) lifts to a transfer system for  $\text{Sub}(G)$  when we additionally satisfy that whenever we have  $\bullet\mathcal{R}\mathfrak{S}_3$  we also have  $1\mathcal{R}\mathfrak{S}_3$ . It turns out that there are 9 such transfer systems.

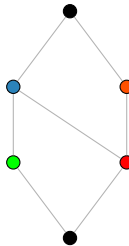
The goal of this paper is to isolate a class of groups for which  $G$ -transfer systems can be explicitly characterized as certain restricted transfer systems on the poset  $\text{Sub}(G)/G$  in this fashion. The class of groups that we will isolate here are the *lossless groups* (see Definition 2.6). Not only does this provide a nontrivial structural result, it also equips us with powerful computational tools to classify  $N_\infty$  operads for a wide range of non-Abelian groups, and provides a better conceptual understanding of the structures involved.

For example, let us assume that we wish to study the collection of  $G$ - $N_\infty$  operads for  $G = D_9$ . The lattice that we need to consider,  $\text{Sub}(D_9)$ , is displayed in Figure 4.

Hoping to find patterns or structure on this lattice is a daunting task. However, the results presented here will allow us to instead explore structure in the much more manageable — and human friendly —  $\text{Sub}(G)/G$  as displayed in Figure 5. In [Balchin et al. 2022] we undertook this exploration, and provide a recursive algorithm for computing  $N_\infty$  operads for the dihedral groups  $D_{p^n}$  for all  $n \geq 0$  ( $p \neq 2$ ).



**Figure 4.** The lattice  $\text{Sub}(G)$  for  $G = D_9$ . The colored nodes in the rows indicate different conjugacy classes of subgroups.



**Figure 5.** The lattice  $\text{Sub}(G)/G$  for  $G = D_9$ . The coloring of the nodes corresponds to the coloring in Figure 4.

In Section 2 we will introduce the main object of study of this paper, the *lossless groups*, and prove that there is a bijection between  $G$ -transfer systems for a lossless group  $G$  and *liftable transfer systems* on  $\text{Sub}(G)/G$ . We record the main theorem here.

**Theorem** (Corollary 2.14). Let  $G$  be a lossless group. Then there is a bijection between homotopy classes of  $G$ - $N_\infty$  operads and liftable transfer systems on  $\text{Sub}(G)/G$ .

In fact, in a sense made precise in Section 2, the above theorem characterizes lossless groups.

We then continue in Section 2B and prove that many groups of interest are in fact lossless. In Section 3 we direct our attention to a particularly nice class of lossless groups, namely the *metacyclic Frobenius groups*. For these groups we have a detailed understanding of both the form of  $\text{Sub}(G)/G$  and the lifting conditions required to determine a  $G$ -transfer system. We apply this theory in Section 4 to demonstrate how the theory aids computations. Finally, in Section 5 we outline a potential strategy for dealing with lossy groups. In particular we shall focus on

the case of  $G = \mathrm{SL}_2(\mathbb{F}_p)$  which is an important family of groups in the study of topological modular forms with level structures [Hill and Lawson 2016].

**Conventions.** Throughout, we shall use the following conventions for group theory:

- $H \leq G$  designates  $H$  as a subgroup of  $G$ .
- $N \trianglelefteq G$  designates  $N$  as a normal subgroup.
- If  $g \in G$  then  ${}^g H := gHg^{-1}$  (thus  ${}^{g(h)} H = ({}^{gh}) H$  for all  $g, h \in G$ ).
- For  $G$  a group we write  $\mathrm{Sub}(G)/G$  for the poset of conjugacy classes of subgroups of  $G$ .
- For  $n > 2$ , we write  $D_n$  for the dihedral group of order  $2n$ .

## 2. Transfer systems on lossless groups

In this section we will introduce the class of *lossless groups*, which allow us to study  $G$ -transfer systems using only categorical transfer systems on  $\mathrm{Sub}(G)/G$ . After proving the basic structural results about these groups, we identify several simple criteria for when a group is lossless, and also provide several examples of how groups can fail to be lossless.

**2A. General results.** We first recall the definitions of  $G$ -transfer systems and categorical transfer systems. We refer the reader to [Balchin et al. 2021; Franchere et al. 2022] for further details.

**Definition 2.1.** Let  $G$  be a finite group. A ( $G$ -)transfer system is a relation  $\mathcal{R}$  on  $\mathrm{Sub}(G)$  refining inclusion satisfying the following:

- (reflexivity)  $H\mathcal{R}H$  for all  $H \leq G$ .
- (transitivity)  $K\mathcal{R}H$  and  $L\mathcal{R}K$  implies  $L\mathcal{R}H$ .
- (closed under conjugation)  $K\mathcal{R}H$  implies that  ${}^g K\mathcal{R}{}^g H$  for all  $g \in G$ .
- (closed under restriction)  $K\mathcal{R}H$  and  $L \leq H$  implies  $(K \cap L)\mathcal{R}L$ .

**Proposition 2.2** [Balchin et al. 2021]. *Let  $G$  be a finite group. Then there is a bijection between the set of transfer systems on  $\mathrm{Sub}(G)$  and the set of  $G$ - $N_\infty$  operads.*

In [Franchere et al. 2022], a notion of an abstract categorical transfer system was introduced for an arbitrary poset, but with a particular focus on when the poset in question is a lattice. In fact, despite presenting the definition for general posets, the authors only make serious use of their definition in the setting of lattices. Since  $\mathrm{Sub}(G)/G$  may not be a lattice when  $G$  is noncommutative, we are forced to think seriously about more general posets. The definition we present below is *not* equivalent to the definition given in [Franchere et al. 2022], since we require

restriction closure for arbitrary maximal lower bounds, rather than just when a unique meet exists. However, it is straightforward to verify the two definitions coincide when the poset is a lattice. For an element  $x$  in some poset  $\mathcal{P}$ , let  $x^\downarrow$  denote the down-set of  $x$  in  $\mathcal{P}$ , i.e., the set of all  $y \leq x$ .

**Definition 2.3.** Let  $\mathcal{P} = (\mathcal{P}, \leq)$  be a poset. A (categorical) *transfer system* on  $\mathcal{P}$  consists of a partial order  $\mathcal{R}$  on  $\mathcal{P}$  that refines  $\leq$  and such that whenever  $x\mathcal{R}y$  and  $z \leq y$ , then for all maximal  $w \in x^\downarrow \cap z^\downarrow$  we have  $w\mathcal{R}z$ .

**Remark 2.4.** Using this definition, Theorem 4.13 in [Franchere et al. 2022] (categorical transfer systems on a lattice are in natural bijection with weak factorization systems) can be generalized to arbitrary posets. This gives some evidence that our definition is the “morally correct” one for nonlattice posets. More pragmatically, the stronger definition is necessary to make Lemma 3.1 work.

**Warning 2.5.** Until this point in the literature, only transfer systems on Abelian groups have been seriously considered. In this case,  $G$ -transfer systems are in bijection with categorical transfer systems on  $\text{Sub}(G) \cong \text{Sub}(G)/G$ , and as such there is no distinction to be made. We are primarily concerned with non-Abelian groups in this paper, and as such, one needs to be careful what they mean.

We now introduce the notion of a lossless group. This definition was isolated to capture exactly the groups needed for our applications, and we have been unable to find this class of groups studied previously in the literature.

**Definition 2.6.** A *lossless group* is a group  $G$  such that for all pairs of subgroups  $K \leq H$  such that  ${}^gK \leq H$  for some  $g \in G$ , there exists some  $h \in N_G(H)$  such that  ${}^hK = {}^gK$ . A group which is not lossless will be called *lossy*.

**Remark 2.7.** An equivalent succinct way of phrasing this definition is that for all  $H \leq G$ , the fusion of subgroups of  $H$  is controlled by  $N_G(H)$ . Note that we do not require fusion to be controlled on elements as is common in group theoretic literature. This corresponds in the previous definition to the fact that we only require  $h^{-1}g \in N_G(K)$ , rather than requiring  $h^{-1}g \in C_G(K)$ .

In the following definition,  $[H]$  denotes the conjugacy class of a subgroup  $H \leq G$ . We also adopt the notational convention of writing  $K \rightarrow H \in \mathcal{R}$  as shorthand for  $K\mathcal{R}H$ ; we find this notational flexibility useful, especially as the notation for a given transfer system becomes more complex.

**Definition 2.8.** Let  $G$  be a group and  $\pi : \text{Sub}(G) \rightarrow \text{Sub}(G)/G$  be the quotient map of posets:

- For a categorical transfer system  $\mathcal{R}$  on  $\text{Sub}(G)/G$ , we define  $\pi^{-1}(\mathcal{R})$  to be the relation on  $\text{Sub}(G)$  such that  $K \rightarrow H \in \pi^{-1}(\mathcal{R})$  if and only if  $[K] \rightarrow [H] \in \mathcal{R}$ . We then define  $\pi^*(\mathcal{R})$  to be the  $G$ -transfer system generated by  $\pi^{-1}(\mathcal{R})$ .

- For a  $G$ -transfer system  $\mathcal{R}$ , we define  $\pi_*(\mathcal{R})$  to be the relation on  $\text{Sub}(G)/G$  where  $[K] \rightarrow [H] \in \pi_*(\mathcal{R})$  if and only if there exist some pair of subgroups  $K' \leq H'$  with  $[K] = [K']$  and  $[H] = [H']$ , such that  $K' \rightarrow H' \in \mathcal{R}$ .

We start with an observation that we can push any  $G$ -transfer system to a categorical transfer system on  $\text{Sub}(G)/G$  provided that  $G$  is lossless.

**Lemma 2.9.** *Let  $G$  be a lossless group. Then for all  $G$ -transfer systems  $\mathcal{R}$ ,  $\pi_*(\mathcal{R})$  is a categorical transfer system on  $\text{Sub}(G)/G$ .*

*Proof.* Let  $\mathcal{R}' = \pi_*(\mathcal{R})$ . Suppose  $[K]\mathcal{R}'[H]\mathcal{R}'[L]$  and let there be lifts (i.e., representatives in the conjugacy class)  $K'\mathcal{R}H'$  and  $H''\mathcal{R}L'$ . Then  $[H'] = [H] = [H'']$  implies we can find  $g \in G$  for which  ${}^gH' = H''$ . Then  ${}^gK'\mathcal{R}L'$  is a lift of  $[K] \rightarrow [L]$ . Thus  $\mathcal{R}'$  is transitive. Note that this part does not use the assumption that  $G$  is lossless.

Now suppose  $[K]\mathcal{R}'[H]$  and  $[L] \leq [H]$ , and suppose  $[M]$  is maximal among  $[M] \leq [K]$  and  $[M] \leq [L]$ . We can assume without loss of generality that  $K\mathcal{R}H$  is a lift and  $L \leq H$  and  $M \leq K$ . Let  $g \in G$  such that  ${}^gM \leq L$ . Since  $G$  is lossless we can assume  $g \in N_G(H)$ . Thus  ${}^gK\mathcal{R}H$  and  ${}^gM \leq {}^gK \cap L$ . But  $[{}^gK \cap L] \leq [K], [L]$ , so by maximality  ${}^gM$  must be conjugate to  ${}^gK \cap L$ , and hence for order reasons we have  ${}^gM = {}^gK \cap L$ . But since  $\mathcal{R}$  is a transfer system we have  ${}^gM\mathcal{R}L$  as  ${}^gM = ({}^gK \cap L)$ , and hence  $[M]\mathcal{R}'[L]$ . □

**Proposition 2.10.** *If  $G$  is lossless then  $\pi^* \dashv \pi_*$  is a Galois connection between  $G$ -transfer systems and categorical transfer systems on  $\text{Sub}(G)/G$ . Furthermore, the unit of this adjunction is the identity, i.e., for all  $G$ -transfer systems  $\mathcal{R}$  we have  $\mathcal{R} = \pi^{-1}(\pi_*(\mathcal{R})) = \pi^*(\pi_*(\mathcal{R}))$ .*

*Proof.* Let  $\mathcal{R}$  be a  $G$ -transfer system and  $\mathcal{R}'$  a categorical transfer system on  $\text{Sub}(G)/G$ . By definition,  $\mathcal{R} \geq \pi^*(\mathcal{R}')$  if and only if  $\mathcal{R} \geq \pi^{-1}(\mathcal{R}')$  if and only if  $[K]\mathcal{R}'[H]$  implies  $K\mathcal{R}H$ . On the other hand, we have  $\pi_*(\mathcal{R}) \geq \mathcal{R}'$  if and only if  $[K]\mathcal{R}'[H]$  implies there exists some  $K' \leq H'$  with  $[K'] = [K]$  and  $[H'] = [H]$  such that  $K'\mathcal{R}H'$ .

Thus we need to show  $K'\mathcal{R}H'$  implies  $K\mathcal{R}H$ . Or in other words, we need to show that if  $G$  is lossless, then for any  $G$ -transfer system  $\mathcal{R}$  and any two pairs  $K \leq H, K' \leq H'$  such that  $[K] = [K']$  and  $[H] = [H']$ , we have  $K\mathcal{R}H$  if and only if  $K'\mathcal{R}H'$ . By symmetry we can suppose  $K\mathcal{R}H$  and we want to show this implies  $K'\mathcal{R}H'$ . But  $[H] = [H']$  implies by definition we can find some  $g \in G$  such that  ${}^gH = H'$ , and by conjugation closure we have  ${}^gK\mathcal{R}{}^gH$ , so we might as well assume  $H = H'$ . Let  $h \in G$  such that  ${}^hK = K'$ . Then we have  $K, {}^hK \leq H$ , so since  $G$  is lossless we can assume  $h \in N_G(H)$ . But then again using conjugation closure we have  $(K' = {}^hK)\mathcal{R}({}^hH = H = H')$  as claimed.

From the definition of  $\pi^{-1}(-)$ , this also shows  $\mathcal{R} = \pi^{-1}(\pi_*(\mathcal{R}))$  for any  $G$ -transfer system  $\mathcal{R}$ . Since  $\pi^*(\mathcal{R}')$  is defined to be the smallest  $G$ -transfer system

containing  $\pi^{-1}(\mathcal{R}')$  and  $\mathcal{R}$  is a  $G$ -transfer system by definition, this also implies  $\mathcal{R} = \pi^*(\pi_*(\mathcal{R}))$  and hence the unit is the identity.  $\square$

**Corollary 2.11.** *If  $G$  is lossless then every  $G$ -transfer system can be lifted from a categorical transfer system on  $\text{Sub}(G)/G$ .*

We note that a strong converse to this corollary also holds:

**Proposition 2.12.** *Let  $G$  be an arbitrary (finite) group. If every  $G$ -transfer system can be written as  $\pi^*(\mathcal{R})$  for some arbitrary **relation**  $\mathcal{R}$  on  $\text{Sub}(G)/G$ , then  $G$  is lossless.*

*Proof.* Let  $S$  be some set of arrows in  $\text{Sub}(G)$ , and let  $\mathcal{R}$  be the  $G$ -transfer system generated by  $S$ . By the explicit construction of the transfer system generated by a set of arrows given in [Rubin 2021b, Appendix B], one can show that  $K \rightarrow H \in \mathcal{R}$  necessarily implies that there must exist some  $K' \rightarrow H' \in S$  and some  $g \in G$  such that  $K \leq^g K'$  and  $H \leq^g H'$ .

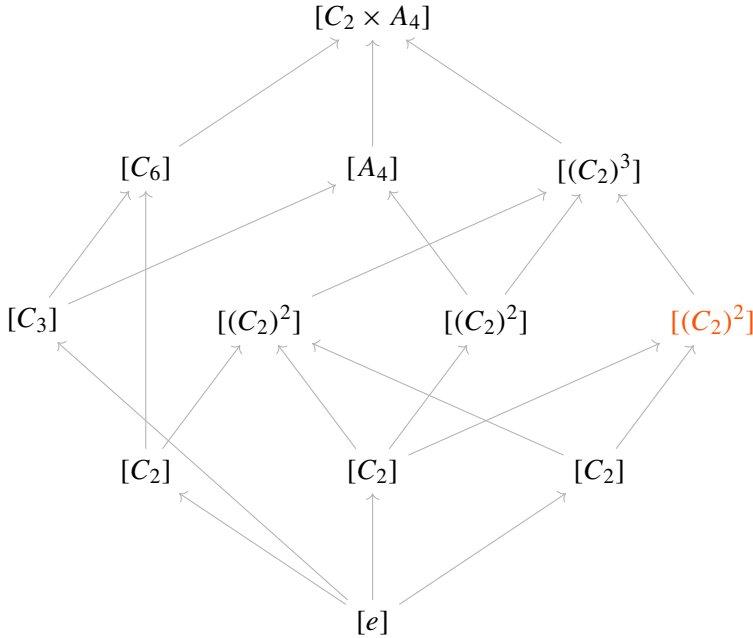
Now suppose  $K, {}^gK \leq H$ , and let  $\mathcal{R}$  be the  $G$ -transfer system generated by  $K \rightarrow H$ . Suppose  $\mathcal{R} = \pi^*(\mathcal{R}')$ . Since  $\pi^*(\mathcal{R}')$  is generated by  $\pi^{-1}(\mathcal{R}')$ , there must be some  $K' \rightarrow H' \in \pi^{-1}(\mathcal{R}')$  and some  $h \in G$  such that  $K \leq^h K'$  and  $H \leq^h H'$ . But also  $\mathcal{R}$  is generated by  $S = \{K \rightarrow H\}$ , so there must exist some  $k \in G$  such that  $K' \leq^k K$  and  $H' \leq^k H$ . For order reasons this forces  $K =^h K'$  and  $H =^h H'$ , so  $[K] \rightarrow [H] = [K'] \rightarrow [H'] \in \mathcal{R}'$ .

But we also have  $[{}^gK] \rightarrow [H]$  and  $[K] \rightarrow [H] \in \mathcal{R}'$  and  $[H] = [K]$ , so by definition of  $\pi^{-1}(\mathcal{R}')$  we must have  ${}^gK \rightarrow H \in \mathcal{R}$ . Thus we can find  $h \in G$  such that  ${}^gK \leq^h K$  and  $H \leq^h H$ , and again for order reasons this forces  ${}^gK =^h K$  and  $H =^h H$ , i.e.,  $K$  and  ${}^gK$  are conjugate by way of  $h \in N_G(H)$ . Since  $K, {}^gK \leq H$  was arbitrary, this shows  $G$  is lossless.  $\square$

**Remark 2.13.** By Proposition 2.10 and the general theory of Galois connections, when  $G$  is lossless we have a bijection between  $G$ -transfer systems and categorical transfer systems  $\mathcal{R}$  on  $\text{Sub}(G)/G$  such that  $\mathcal{R} = \pi_*(\pi^*(\mathcal{R}))$ . We call such transfer systems *liftable*. For example, considering  $G = \mathfrak{S}_3$ , as mentioned in the introduction a categorical transfer system is liftable if and only if whenever we have  $\tau \rightarrow \mathfrak{S}_3$  for any transposition  $\tau$  we also have  $1 \rightarrow \mathfrak{S}_3$ ; see Figure 3 and the surrounding discussion.

In general the condition  $\mathcal{R} = \pi_*(\pi^*(\mathcal{R}))$  is not very evocative. In Lemma 3.1 we give a more concrete set of conditions for a categorical transfer system to be liftable, but for general (lossless)  $G$  these conditions can still be rather opaque and difficult to verify. In Section 3 we will consider some special cases where the lifting conditions are simple enough to be visually intuitive, like in the case of  $G = \mathfrak{S}_3$ .





**Figure 6.** The structure of  $\text{Sub}(G)/G$  for  $G = C_2 \times A_4$ .

The following corollary summarizes the results of this section.

**Corollary 2.14.** *If  $G$  is a lossless group, then the function  $\pi^*$  from liftable transfer systems on  $\text{Sub}(G)/G$  to transfer systems for  $G$  (i.e., homotopy classes of  $G\text{-}N_\infty$  operads) is a bijection; furthermore, for a general finite group  $G$ , bijectivity of  $\pi^*$  (when restricted to liftable transfer systems) implies that  $G$  is lossless.*

**2B. Examples and counterexamples of lossless groups.** We continue with some observations regarding lossless groups, and provide some nontrivial examples of interesting families of lossless groups. Note that clearly any Abelian group is lossless.

In general it appears that the class of lossless groups is rather poorly behaved under group-theoretic operations. However, we can prove that lossless groups at least play nicely with quotients.

**Lemma 2.15.** *Any quotient of a lossless group is lossless.*

*Proof.* This follows directly from the standard equivariant poset isomorphism between  $\text{Sub}(G/N)$  and the interval  $[N, G] \subseteq \text{Sub}(G)^N$  for all  $N \trianglelefteq G$ .  $\square$

**Remark 2.16.** The product of lossless groups can be lossy. Indeed, consider the group  $G = C_2 \times A_4$ , for which Figure 6 displays  $\text{Sub}(G)/G$ .

In  $G$  we have a conjugacy class  $[(C_2)^2]$  which contains only two copies of  $[C_2]$  displayed in red in Figure 6. Since each  $(C_2)^2$  contains three copies of  $C_2$ , this implies the existence of some  $H = (C_2)^2$  which contains two conjugate copies of  $C_2$ ,  $K$  and  ${}^gK$ . However, the normalizer of  $(C_2)^2$  is  $(C_2)^3$ , which is Abelian and hence  $K, {}^gK$  cannot be conjugate in  $(C_2)^3 = N_G(H)$ . That is,  $C_2 \times A_4$  fails to be lossless even though  $C_2$  and  $A_4$  are lossless.

Heuristically it seems very likely that being lossless does not imply that all (even normal) subgroups are lossless. Indeed, if  $K, {}^gK \leq H \leq L \leq G$  are such that  $K, {}^gK$  are not conjugate in  $N_L(H)$  (so in particular  $L$  is definitely not lossless), then it may still be the case that  $K, {}^gK$  are conjugate in  $N_G(H)$  (so  $G$  might be lossless). However the authors have been unable to find an explicit example of a lossless group with a lossy subgroup.

A substantial class of examples of lossless groups comes from the following observation. Recall that a  $T$ -group is a group in which every subnormal subgroup is normal, i.e.,  $K \trianglelefteq H$  and  $H \trianglelefteq G$  implies  $K \trianglelefteq G$  [Robinson 1996, Section 13.4]. A subgroup  $K \leq G$  is *pronormal* if for all  $g \in G$ ,  $K$  and  ${}^gK$  are conjugate in  $\langle K, {}^gK \rangle$  [Doerk and Hawkes 1992, Section I.6]. We note that if  $K, {}^gK \leq H$  then  $\langle K, {}^gK \rangle \leq H \leq N_G(H)$ , so if every subgroup of  $G$  is pronormal then  $G$  is lossless.

Before we continue, let us recall the theory of Hall subgroups, and Hall's theorem, which can be seen as a generalization of Sylow's theorem in the solvable case.

**Definition 2.17.** A *Hall subgroup* of a group  $G$  is a subgroup whose order is coprime to its index. If  $\pi$  is a set of primes, then a *Hall  $\pi$ -subgroup* is a subgroup whose order is a product of primes in  $\pi$ .

**Theorem 2.18** (Hall's theorem [Hall 1928]). *Let  $G$  be a finite solvable group and  $\pi$  any set of primes. Then  $G$  has a Hall  $\pi$ -subgroup, and any two such Hall  $\pi$ -subgroups are conjugate. Moreover, any subgroup whose order is a product of primes in  $\pi$  is contained in some Hall  $\pi$ -subgroup.*

**Proposition 2.19.** *Any (finite) solvable  $T$ -group is lossless.*

*Proof.* A theorem of Peng [1969] tells us that a group  $G$  is a solvable  $T$ -group if and only if every  $p$ -subgroup of  $G$  is pronormal, and in this case  $G$  is in fact supersolvable. We claim that in this case all subgroups of  $G$  are pronormal, and hence  $G$  is lossless. This result seems to be well-known among group theorists (see, e.g., [Ferrara and Trombetti 2022; de Giovanni and Vincenzi 2000; Kurdachenko and Subbotin 2006]), but the authors of the present paper were unable to find a proof in the literature so we include one here.

Suppose that  $G$  is a (super)solvable  $T$ -group, and let  $K \leq G$ . We will prove by induction on the number of prime divisors of  $|K|$  that  $K$  is pronormal. By Peng's theorem this holds in the case where  $|K|$  only has a single prime divisor. Since  $G$  is

supersolvable,  $K \leq G$  is also supersolvable, so  $K$  has a Sylow  $p$ -subgroup  $P \leq K$  and a normal Hall  $p'$ -subgroup  $S \trianglelefteq K$  for some  $p$ . In particular,  $P \leq N_G(S)$ . By induction we can assume  $S$  and  $P$  are both pronormal in  $G$ . By [Rose 1967, 1.8], this implies  $K = SP$  is pronormal in  $G$ .  $\square$

Although the solvable  $T$ -groups form a fairly large class of groups, this class notably excludes most interesting  $p$ -groups. Indeed, since every subgroup of a nilpotent group is subnormal, a  $p$ -group is a  $T$ -group if and only if every subgroup is normal, so the only non-Abelian  $p$ -groups obtained this way are groups of the form  $Q_8 \times (\mathbb{Z}/2)^n$ , where  $Q_8$  is the ordinary quaternion group [Dedekind 1897]. In light of this, the remainder of this section will largely focus on  $p$ -groups and determining conditions under which a  $p$ -group is lossless.

The following result is trivial, but will show that Example 2.21 provides a minimal example of lossy  $p$ -groups.

**Proposition 2.20.** *Let  $G$  be any  $p$ -group of order at most  $p^3$ . Then  $G$  is lossless.*

*Proof.* Suppose  $K, {}^sK \leq H$ . We want to show  $K, {}^sK$  are conjugate in  $N_G(H)$ . We can assume  $K < H$  since otherwise  $K = {}^sK$  and there's nothing to show. Since  $G$  is nilpotent we have  $H < N_G(H)$ , and we're already done if  $N_G(H) = G$ , so we must have  $[G : H] \geq p^2$ . But if  $|G| \leq p^3$  then this forces  $K = \{e\} = {}^sK$  so there's nothing to show.  $\square$

**Example 2.21.** Let  $p \neq 2$  and let  $N = (\mathbb{Z}/p)^3$ . Let a generator of  $T = C_p$  act on  $N$  by the matrix

$$A := \begin{bmatrix} 1 & 1 & 1 \\ 0 & 1 & 1 \\ 0 & 0 & 1 \end{bmatrix}.$$

Let  $G = N \rtimes T$ . Note that  $|G| = p^4$ . Let  $K = \langle(0, 0, 1)\rangle \subseteq N$  and  $L = \langle(0, 0, 1), (1, 1, 1)\rangle \subseteq N$ . Let  $g \in T$  be a generator. Then  $K, {}^sK \leq L$ , but  $N_G(L) = N$  is Abelian and hence  $K \neq {}^sK$  cannot be conjugate in  $N_G(L)$ . Thus  $G$  is not lossless.

**Remark 2.22.** The reason we needed to assume  $p \neq 2$  in Example 2.21 is because if  $p = 2$  then the matrix  $A$  has order 4 instead of 2. By an exhaustive search one can show that every group of order  $2^4$  is lossless.

We recall for the proof of Proposition 2.23 that a subgroup  $H$  of  $G$  is said to be *characteristic* if every automorphism of  $G$  fixes  $H$ , that is,  $\phi(H) = H$  for every automorphism  $\phi$  of  $G$ .

**Proposition 2.23.** *If  $G$  has a cyclic normal subgroup of prime index, i.e., if  $G$  is an extension*

$$1 \rightarrow C_n \rightarrow G \rightarrow C_p \rightarrow 1$$

*for some prime  $p$ , then  $G$  is lossless.*

*Proof.* Let  $K, {}^gK \leq H \leq G$ , and let  $N = C_n \trianglelefteq G$ . Since every subgroup of  $C_n$  is characteristic, all subgroups of  $N$  are normal in  $G$ . Thus if  $K \leq H \cap N$  then  $K$  is normal so  $K = {}^gK$  and there's nothing to show. Otherwise the quotient  $H \rightarrow H/H \cap N \cong C_p$  is nontrivial restricted to  $K \leq H$ , and hence  $H = K(H \cap N)$ . Since  $H \cap N \leq N$  is normal in  $G$  this implies

$${}^gH = {}^g(K(H \cap N)) = {}^gK {}^g(H \cap N) = {}^gK(H \cap N) \leq H$$

and hence  $g \in N_G(H)$ . □

We will now wish to discuss some families of groups which are amenable to the above result. We will define some of the groups in question as they may not be standard knowledge. From their description via generators and relations it is clear that they all have cyclic normal subgroups of order 2.

**Definition 2.24.** • The *dicyclic group* of order  $4n$ , denoted  $\text{Dic}_n$  is defined via generators and relations as

$$\text{Dic}_n := \langle r, s \mid r^{2n} = s^4 = 1, srs = r^{2n-1} \rangle.$$

- The *semidihedral group* of order  $2^n$ , denoted  $\text{SD}_n$  is defined via generators and relations as

$$\text{SD}_n := \langle r, s \mid r^{2^{n-1}} = s^2 = 1, srs = r^{2^{n-2}-1} \rangle.$$

- The *modular maximal-cyclic group* of order  $2^n$ , denoted  $\text{MM}_n$  is defined via generators and relations as

$$\text{MM}_n := \langle r, s \mid r^{2^{n-1}} = s^2 = 1, srs = r^{2^{n-2}+1} \rangle.$$

**Corollary 2.25.** Any dihedral group, dicyclic (e.g., generalized quaternion) group, semidihedral group, or modular maximal-cyclic group is lossless.

**Corollary 2.26.** If  $q$  is a prime power with  $q \equiv 3 \pmod 4$ , then the Sylow 2-subgroup of  $\text{PSL}_3(\mathbb{F}_q)$  is lossless. Similarly, if  $q \equiv 1 \pmod 4$  then the Sylow 2-subgroup of  $\text{PSU}_3(\mathbb{F}_q)$  is lossless.

*Proof.* In each of these cases the Sylow 2-subgroup is semidihedral [Alperin et al. 1970]. □

**Example 2.27.** Let  $q \mid \phi(p^3)/p = p(p-1)$ , and let a generator  $g \in T = C_{pq}$  act on  $N = \mathbb{Z}/p^3$  via multiplication by some element in  $\mathbb{Z}/p^3$  of order  $qp$ , and let  $G = N \rtimes T$ . Let  $N_1 = pN$  and  $N_2 = p^2N$ . Let  $K = T^q$ ,  $H = KN_2$ , and  $L = TN_2$ . Note that  $N_1, N_2$  are characteristic in  $N$  and hence normal in  $G$ , so  $H$  and  $L$  are indeed subgroups of  $G$ .

A computation shows that  $H$  is normal in  $G$ , but if  $p \neq 2$  then  $N_G(K) = N_G(L) = TN_1 < G$ . Thus  $G$  is not lossless, showing the assumption that  $[G : N]$  is prime in Proposition 2.23 is essential.

**Proposition 2.28.** *If the derived subgroup of  $G$  has prime order, then  $G$  is lossless.*

*Proof.* Let  $K, {}^sK \leq H$ . Since  $G/G'$  is Abelian, any subgroup containing  $G'$  is normal in  $G$ . Thus in particular  $KG'$  is normal, and hence  ${}^sK \leq KG'$ . Since  $|G'| = p$  we have  $[KG' : K] \leq p$ , so  $K$  is maximal in  $KG'$ . Thus if  ${}^sK \neq K$  then  $\langle K, {}^sK \rangle = KG'$  and hence  $G' \leq KG' \leq H$ . Thus  $H$  is normal, and as such, there is nothing to check.  $\square$

**Definition 2.29.** A  $p$ -group  $G$  is said to be *extraspecial* if its center  $Z(G)$  is cyclic of order  $p$  and the quotient  $G/Z(G)$  is a nontrivial elementary Abelian  $p$ -group.

**Corollary 2.30.** *Any extraspecial group is lossless.*

**Corollary 2.31.** *For any prime  $p$  the subgroup of upper triangular matrices in  $\mathrm{GL}_2(\mathbb{F}_p)$  is lossless.*

**Proposition 2.32.** *If  $\gcd(m, p) = 1$  and  $G \cong (C_p)^2 \rtimes C_m$ , then  $G$  is lossless.*

*Proof.* Let  $K, {}^sK \leq H$ . Again any subgroup containing  $G'$  is normal in  $G$ . If  $K \cap G' \neq 1$ , then by the diamond identity  $[KG' : K] = [G' : G' \cap KG'] \leq p$  and the same argument as before applies. Thus we can assume  $K \cap G' = 1$ , and hence  $K, {}^sK$  are contained in some  $p'$ -Hall subgroups  $S, S'$  of  $H$ . Thus we can find some  $h \in H$  such that  ${}^hS = S'$  and hence  ${}^hK \leq S'$ . But  $S'$  is a cyclic group so  $|{}^hK| = |{}^sK|$  implies  ${}^hK = {}^sK$ , and of course  $h \in N_G(H)$  as required.  $\square$

**Corollary 2.33.** *For any prime  $p$  the subgroup of upper triangular matrices in  $\mathrm{SL}_2(\mathbb{F}_{p^2})$  is lossless.*

**Example 2.34.** If  $p = 2, 3$ , or  $5$ , then  $\mathrm{SL}_2(\mathbb{F}_p)$  is lossless.

In fact, for  $p = 2, 3, 5$  the group  $\mathrm{SL}_2(\mathbb{F}_p)$  satisfies a very strong additional property: any two isomorphic subgroups are conjugate. We shall say that such a group is *universally lossless*. Groups like this are quite useful for identifying “lossless pieces” of larger groups containing them as subgroups.

**Proposition 2.35.** *Let  $G$  be an arbitrary (finite) group, and suppose  $K, {}^sK \leq H \leq G$ . Suppose further that  $H \leq L \leq G$ , where  $L$  is a universally lossless group. Then  $K$  and  ${}^sK$  are conjugate in  $N_G(H)$ .*

*Proof.* Since  $K \cong {}^sK$  and  $K, {}^sK \leq L$ , by assumption  $K$  and  ${}^sK$  are conjugate in  $L$ , so we can assume  $g \in L$ . Thus since  $L$  is lossless and  $K, {}^sK \leq H \leq L$ , we must have  $K$  and  ${}^sK$  are conjugate in  $N_L(H) \leq N_G(H)$ .  $\square$

### 3. Lifting criteria

In Corollary 2.14 we saw that for a lossless group  $G$ ,  $G$ -transfer systems are in bijection with liftable transfer systems on  $\mathrm{Sub}(G)/G$ . However as discussed in Remark 2.13, explicitly identifying which transfer systems on  $\mathrm{Sub}(G)/G$  are liftable

can be extremely difficult in general. In this section we consider some special cases where the lifting conditions are tangible. We first begin with a generality.

**Lemma 3.1.** *Let  $G$  be a lossless group, and let  $\mathcal{R}$  be a categorical transfer system on  $\text{Sub}(G)/G$ . Then  $\mathcal{R}$  is liftable if and only if for all  $[K]\mathcal{R}[H]$  and any  $K' \leq H$  with  $[K'] = [K]$  we have  $[K \cap K']\mathcal{R}[H]$ .*

*Proof.* Suppose  $\mathcal{R}$  is liftable and  $K, K' \leq H$  are as in the statement of the lemma. Then  $K \rightarrow H, K' \rightarrow H \in \pi^*(\mathcal{R})$  by definition, so by restriction-closure and transitivity we have  $(K \cap K') \rightarrow K' \rightarrow H \in \pi^*(\mathcal{R})$  and hence  $[K \cap K'] \rightarrow [H] \in \pi_*(\pi^*(\mathcal{R})) = \mathcal{R}$ .

Now suppose conversely that  $\mathcal{R}$  is a categorical transfer system on  $\text{Sub}(G)/G$  such that the condition in the statement of the lemma holds. We claim that  $\pi^{-1}(\mathcal{R})$  is a  $G$ -transfer system. Note that if this claim is true then

$$\pi_*(\pi^*(\mathcal{R})) = \pi_*(\pi^{-1}(\mathcal{R})) \leq \mathcal{R} \leq \pi_*(\pi^*(\mathcal{R}))$$

where the first inequality follows directly from the definition of  $\pi_*$  and  $\pi^{-1}$  and the last inequality follows from  $\pi^* \dashv \pi_*$ .

Clearly  $\pi^{-1}(\mathcal{R})$  is a conjugation-closed partial order refining  $\leq$ . All we need to show is that it is restriction-closed. In other words, we need to show that given  $K \rightarrow H \in \pi^{-1}(\mathcal{R})$  and  $L \leq H$  we have  $(K \cap L) \rightarrow L \in \pi^{-1}(\mathcal{R})$ . We prove this by induction on the tuple  $(K, H, L)$ . That is, assuming the claim is true for all tuples  $(K', H', L')$  with  $K' \leq K, L' \leq L, H' \leq H$ , and at least one of these inequalities is strict, we want to show this implies the claim for  $(K, H, L)$ . The base case where  $K = H = L$  is the trivial subgroup holds by reflexivity of  $\mathcal{R}$ .

By the induction hypothesis with  $K$  and  $H$  fixed but  $L' < L$ , we can assume  $(K \cap L) \rightarrow L' \in \pi^{-1}(\mathcal{R})$  for all  $L' < L$  with  $K \cap L \leq L'$ . Let  $M \leq L$  such that  $[K \cap L] \leq [M]$  and  $[M]$  is maximal among  $[M] \leq [K], [L]$ . Let  $g \in G$  such that  ${}^g(K \cap L) \leq M$ . Since  $G$  is lossless we can assume  $g \in N_G(L)$ . Thus  ${}^{g^{-1}}M \leq L$  and  $[M] = [{}^{g^{-1}}M]$ , so we can assume without loss of generality that  $K \cap L \leq M$ .

If  $M < L$ , then by the induction hypothesis we have  $K \cap L \rightarrow M \in \pi^{-1}(\mathcal{R})$  and hence by definition  $[K \cap L]\mathcal{R}[M]$ . But since  $\mathcal{R}$  is a categorical transfer system,  $[M]$  is maximal for  $[M] \leq [K], [L] \leq [H]$ , and  $[K]\mathcal{R}[H]$ , we have  $[K \cap L]\mathcal{R}[M]\mathcal{R}[L]$ , and hence  $K \cap L \rightarrow L \in \pi^{-1}(\mathcal{R})$ .

On the other hand, if  $M = L$  then  $[L] \leq [K]$ , so  ${}^g L \leq K$  for some  $g \in G$ , and again since  $G$  is lossless we can assume  $g \in N_G(H)$ . Thus  $K, {}^g K \leq H$  and  $[K]\mathcal{R}[H]$ , so by hypothesis we have  $[K \cap {}^g K]\mathcal{R}[H]$ . By restriction-closure this then implies  $[K \cap {}^g K]\mathcal{R}[{}^g K]$ , so  $K \cap {}^g K \rightarrow {}^g K \in \pi^{-1}(\mathcal{R})$ . If  ${}^g K < H$ , then by the induction hypothesis with  $K' = K \cap {}^g K, H' = {}^g K$ , and  $L' = L$  we obtain  $K \cap {}^g K \cap L = K \cap L \rightarrow L \in \pi^{-1}(\mathcal{R})$ . But if  ${}^g K = H$  then also  $K = H$  and hence  $K \cap L = L$  so there's nothing to show in this case. □

Although Lemma 3.1 is nice in its generality, and is certainly more explicit than the basic definition, checking it still requires understanding subtle details about the way that subgroups of  $G$  embed into each other. Thankfully, for certain groups the subgroup structure is nice enough to make this condition particularly explicit. Some of the results described below hold in greater generality than stated, but the purpose of this section is mainly illustrative so we avoid excess generality. We recall that, in essence, a *Frobenius group* is a transitive permutation group on a finite set such that no nontrivial element fixes more than one point, and some nontrivial element fixes a point. They can be characterized as those groups  $G$  possessing a proper, nontrivial subgroup  $T$  (called the *Frobenius complement*) such that  $T \cap {}^gT$  is the trivial subgroup for every  $g \in G \setminus T$ . The identity element along with members of  $G \setminus \bigcup_{g \in G} {}^gT$  form the *Frobenius kernel* of  $G$ .

**Definition 3.2.** A *metacyclic Frobenius group* (mcF group) is a Frobenius group  $G$  such that both the kernel  $N \trianglelefteq G$  and the complement  $T \leq G$  are cyclic groups.

**Remark 3.3.** Any mcF group is of the form

$$G \cong \mathbb{Z}/n \rtimes T$$

where  $T$  is a cyclic subgroup of  $(\mathbb{Z}/n)^\times$  such that  $x - 1 \in (\mathbb{Z}/n)^\times$  for all  $x \neq 1 \in T$ . Conversely every group of this form is an mcF group. For a general mcF group  $G$ , we will assume that we have passed through this bijection, and for clarity, write shall write  $N$  for the group  $(\mathbb{Z}/n)$ . That is,  $G \cong N \rtimes T$ .

**Remark 3.4.** Although not immediately obvious from the definition, one can show that a group  $G$  is metacyclic Frobenius if and only if  $G$  is both a metacyclic group and a Frobenius group, explaining the naming choice. This collection of groups also implicitly appears in work of Khukhro and Makareno [2013; 2013], but the authors are not aware of any other place that they have been studied.

**Example 3.5.** Let  $n$  be odd. Then the dihedral group  $D_n = \mathbb{Z}/n \rtimes \mathbb{Z}/2$  of order  $2n$  is a mcF group.

**Example 3.6.** Let  $p$  be any prime. Then  $\text{AGL}_1(\mathbb{F}_p) = \mathbb{F}_p \rtimes \mathbb{F}_p^\times$ , the group of affine linear transformations of the finite field  $\mathbb{F}_p$ , is a mcF group. Here we are using Gauss's observation that  $(\mathbb{Z}/n)^\times$  is cyclic when  $n = p^k$ .

**Definition 3.7.** Let  $K \leq G$ . We call  $K \cap N$  the *base* of  $K$  and write  $K \cap N = N_K$ .

**Lemma 3.8.** Any subgroup  $K$  of an mcF group  $G$  such that  $N_K \neq \{e\}$  and  $K \not\leq N$  is itself an mcF group with kernel  $N_K$ . If  $N_K = \{e\}$  or  $K \leq N$  then  $K$  is a cyclic group.

*Proof.* This follows immediately from [Feit 1957, Lemma 2.2]. □

**Lemma 3.9.** A subgroup  $K$  of an mcF group  $G$  is normal if and only if  $K \leq N$  or  $N_K = N$ .

*Proof.* If  $N_K = N$  then  $K = KN$  is the preimage of  $KN/N \leq G/N$ , and since  $G/N \cong T$  is cyclic this implies  $KN/N \trianglelefteq G/N$  and hence  $K \trianglelefteq G$ . If  $K \leq N$  then  $K$  is characteristic in  $N \trianglelefteq G$  and hence again  $K \trianglelefteq G$ .

Conversely suppose  $N_K \neq N$  and  $K \not\leq N$ . Let  $g \in K \setminus N$  and  $h \in N \setminus K$ . Since  $N$  is cyclic and  $\text{ord}(h) = \text{ord}(ghg^{-1})$ , we can write  $ghg^{-1} = h^m$ , and since  $G$  is Frobenius and  $gN \neq eN \in G/N$ ,  $m - 1$  must be a unit mod  $\text{ord}(h)$ . But if  $K$  is normal then  $h^{m-1} = h^{-1}h^m = (h^{-1}gh)g^{-1} \in K$  and hence  $h \in K$ , a contradiction. □

**Lemma 3.10.** *Any mcF group  $G$  is in particular a solvable  $T$ -group, and hence lossless by Proposition 2.19. Furthermore, any two subgroups with the same order are conjugate in  $G$ .*

*Proof.* Solvability follows from the fact that  $G = N \rtimes T$  and  $N, T$  are cyclic by definition. The fact that  $G$  is a  $T$ -group follows directly from Lemmas 3.8 and 3.9.

Now suppose  $K, K' \leq G$  and  $|K| = |K'|$ . Since  $N$  is a normal Hall subgroup of  $G$ , we also have  $|N_K| = |N_{K'}|$  and hence  $N_K = N_{K'}$  since  $N$  is cyclic. Let  $S, S'$  be complementary Hall subgroups of  $K$  and  $K'$ , respectively. Then we can extend  $S, S'$  to Hall subgroups  $T, T'$  of  $G$ , and by Hall's theorem we can find  $g \in G$  such that  ${}^gT = T'$ . Then  ${}^gS, S' \leq T'$  are subgroups of the cyclic group  $T'$  and  $|{}^gS| = |S'|$ , so  ${}^gS = S'$ . Thus  ${}^gK = {}^g(N_K S) = N_K S' = K'$ . □

The main property that makes the lifting conditions for mcF groups simple comes from the following proposition.

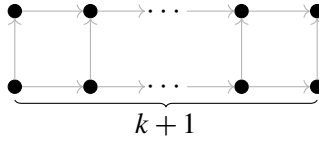
**Proposition 3.11.** *Let  $G$  be an mcF group, and let  $K \leq G$ . For all  $g \in G \setminus N_G(K)$ , we have  $K \cap {}^gK = N_K$ .*

*Proof.* Since  $N_K$  is a normal subgroup of  $K$ , we have  $N_K \leq K \cap {}^gK$  for all  $g \in G$ . To complete the proof, we show that the existence of  $x \in (K \cap {}^{g^{-1}}K) \setminus N_K$  implies that  $g \in N_G(K)$ . Fix such an  $x$ . Then  $\text{ord}(x)$  cannot divide  $|N_K|$ , so after replacing  $x$  with some power we can assume  $\text{ord}(x)$  is coprime to  $|N_K|$ . Let  $S \leq K$  be a Hall subgroup complementary to  $N_K$  such that  $x \in S$ , and let  $T \leq K$  be a Hall subgroup complementary to  $N_K$  such that  $g x g^{-1} \in T$ . Let  $S' \geq S$  and  $T' \geq T$  be Hall subgroups of  $G$  complementary to  $N$ . Then by Hall's theorem we can find  $h \in G$  such that  ${}^{hg}S' = T'$ , and since  $e \neq g x g^{-1} \in {}^gS' \cap T'$  this implies  ${}^{hg}S' \cap {}^gS' \neq \{e\}$ . By [Feit 1957], this implies we must have  $T' = {}^{hg}S' = {}^gS'$ . Since  $T'$  is cyclic it has a unique subgroup of order  $|T| = |{}^gS|$ , so this then also implies  $T = {}^gS$ . But then  $g \in N_G(K)$  as

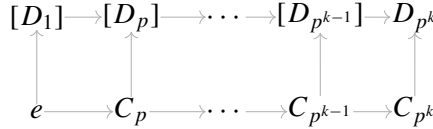
$${}^gK = {}^g(N_K S) = N_K T = K. \quad \square$$

**Corollary 3.12.** *Let  $G$  be an mcF group, and  $\mathcal{R}$  a categorical transfer system on  $\text{Sub}(G)/G$ . Then  $\mathcal{R}$  is liftable if and only if whenever  $[K]\mathcal{R}[H]$  with  $N_K \neq N_H$ , we have  $[N_K]\mathcal{R}[H]$  (or equivalently  $[N_K]\mathcal{R}[K]$ ).*





**Figure 7.** The lattice  $[k] \times [1]$ .



**Figure 8.** The subgroups in the lattice  $\text{Sub}(D_{p^k})/D_{p^k}$ .

*Proof.* By Lemma 3.1, for the if direction we need to check for all  $K, {}^sK \leq H$  that either  $K = {}^sK$  or  $K \cap {}^sK = N_K$ , but this follows directly from Proposition 3.11. For the only if direction we need to check that  $N_K \neq N_H$  implies there exists some  $g \in G$  with  ${}^sK \leq H$  such that  $N_K = K \cap {}^sK$ . But  $N_K \neq N_H$  implies by Lemma 3.9 that  $K$  is not normal in  $H$ , and hence by Proposition 3.11 we can find some  $g \in H$  such that  $K \cap {}^sK = N_K$ , and of course  ${}^sK \leq {}^sH = H$ .  $\square$

**Remark 3.13.** We can make this result more visually intuitive as follows. By Lemma 3.10, the map  $[K] \mapsto (|N_K|, [K : N_K])$  is a poset isomorphism  $\text{Sub}(G)/G \cong D_N \times D_T$ , where  $D_N$  is the lattice of divisors of  $|N|$  and  $D_T$  is the lattice of divisors of  $|G/N| = |T|$ . Then Corollary 3.12 says that a categorical transfer system  $\mathcal{R}$  on  $D_N \times D_T$  is liftable if and only if whenever  $(i, j)\mathcal{R}(i', j')$  with  $j' > j$ , we must have  $(i, 1)\mathcal{R}(i, j)$ .

### 4. Examples of liftable transfer systems

We will now apply the theory presented in this paper to two classes of mcF groups.

**4A. Dihedral groups of prime power order.** We begin by considering groups of the form  $D_{p^k} \cong \mathbb{Z}/p^k \rtimes \mathbb{Z}/2$  where  $p$  is an odd prime; these are mcF groups by Example 3.5. From Remark 3.13, it follows that  $\text{Sub}(G)/G \cong [k] \times [1]$  and we shall consider elements of this lattice as pairs  $(i, j)$  where  $i \in [k]$  and  $j \in [1]$ . Here  $[n]$  is the totally ordered finite set  $\{0 < 1 < \dots < n\}$ . It will be useful for us to display this lattice as the horizontal ladder as in Figure 7.

Figure 8 shows the corresponding subgroups (where we have used square brackets to denote conjugacy classes where required).

We can now unravel Corollary 3.12 in this specific example. We need to consider situations where we have  $[K]\mathcal{R}[H]$  with  $N_K \neq N_H$ . This occurs when we move horizontally on the top row of Figure 7. In this case we require  $[N_K]\mathcal{R}[K]$  for it to



**Figure 9.** The implications for  $[1] \times [1]$ .

lift to a transfer system for  $D_{p^k}$  itself. All in all we conclude that the conditions of Corollary 3.12 correspond to the following in terms of the group:

$$\text{If } [D_{p^i}] \mathcal{R} [D_{p^j}] \text{ then } C_{p^i} \mathcal{R} [D_{p^i}] \text{ for all } 0 \leq i < j \leq k.$$

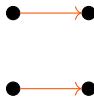
The following corollary rewords this condition in terms of the categorical transfer systems on  $[k] \times [1]$  using Remark 3.13.

**Corollary 4.1.** *A  $D_{p^k}$ -transfer system is equivalent to the data of a categorical transfer system on  $[k] \times [1]$  which satisfies the following rule:*

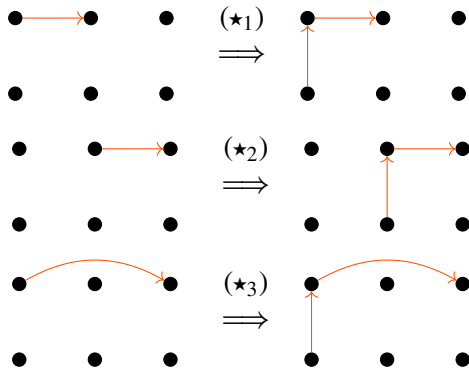
$$\text{If } (i, 1) \mathcal{R} (i', 1) \text{ for } i < i' \text{ then } (i, 0) \mathcal{R} (i, 1) \text{ for all } 0 \leq i < i' \leq k. \quad (\star)$$

**Example 4.2.** Let us consider the case when  $k = 1$ , so that the lattice in question is  $[1] \times [1]$ . Then condition  $(\star)$  of Corollary 4.1 boils down to the single implication of Figure 9.

Note that this is exactly the observation of Rubin [2021b] that we recalled in the introduction. Of the 10 transfer systems on  $[1] \times [1]$ , only one of them does not satisfy condition  $(\star)$ , namely:



**Example 4.3.** We now move to the more exotic case of  $k = 2$  with conjugacy lattice  $[2] \times [1]$ . One can compute that there are 68 categorical transfer systems for this



**Figure 10.** The three restrictions needed for a transfer system on  $D_{p^2}$ .

$p$	$\text{Sub}(\mathbb{F}_p^\times)$
2	[0]
3	[1]
5	[2]
7	[1] $\times$ [1]
11	[1] $\times$ [1]
13	[2] $\times$ [1]
17	[4]

**Table 1.** The subgroup lattice of  $\mathbb{F}_p^\times$ .

lattice. This time we have three possible options for the pair  $i < i'$  in condition  $(\star)$  which are given in Figure 10.

Of the 68 transfer systems on  $[2] \times [1]$ , one can computationally verify that 56 of them are  $D_{p^2}$ -transfer systems.

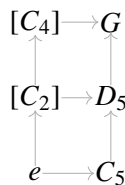
In [Balchin et al. 2022], the authors use these results to produce explicit recursion formulæ for  $D_{p^k}$ -transfer systems.

**4B. Affine linear transformations of finite fields.** In this section we will consider groups of the form  $\text{AGL}_1(\mathbb{F}_p) = \mathbb{F}_p \rtimes \mathbb{F}_p^\times$  where  $p$  is prime. From Remark 3.13, it follows that  $\text{Sub}(G)/G \cong [1] \times \text{Sub}(\mathbb{Z}/(p-1))$ . Of course, the prime factorization of  $p-1$  follows no apparent rhyme or reason. For the convenience of the reader we list the first few values in Table 1.

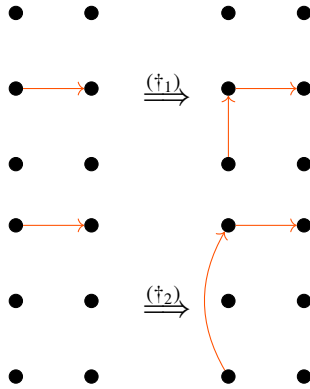
**Example 4.4.** Consider  $G = \text{AGL}_1(\mathbb{F}_3)$ . Here, the subgroup lattice is  $[1] \times [1]$ . Then we are in the exact same case as Example 4.2, which is reassuring as  $\text{AGL}_1(\mathbb{F}_3) \cong D_3$ . In particular there are 9 transfer systems for  $G = \text{AGL}_1(\mathbb{F}_3)$ .

**Example 4.5.** The first nontrivial example is  $G = \text{AGL}_1(\mathbb{F}_5)$ , whose subgroup lattice is  $[1] \times [2]$ . We warn the reader that this case is not the same as Example 4.3. Indeed, even though  $[1] \times [2] \cong [2] \times [1]$ , condition  $(\star)$  is not invariant under this. The lattice  $\text{Sub}(G)/G$  is depicted in Figure 11.

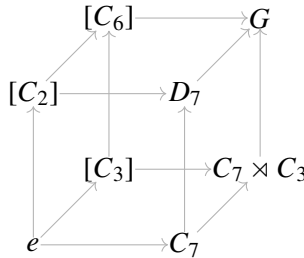
Applying Corollary 3.12 in conjunction with Remark 3.13 we obtain our lifting conditions that we collect in Figure 12.



**Figure 11.** The subgroups in the lattice  $\text{Sub}(\text{AGL}_1(\mathbb{F}_5))/\text{AGL}_1(\mathbb{F}_5)$ .



**Figure 12.** The implications for  $[1] \times [2]$ .



**Figure 13.** The subgroups in the lattice  $\text{Sub}(\text{AGL}_1(\mathbb{F}_7))/\text{AGL}_1(\mathbb{F}_7)$ .

Out of the 68 transfer systems on  $[1] \times [2]$ , 59 of them satisfy conditions  $(\dagger_1)$  and  $(\dagger_2)$ .

**Example 4.6.** Our final example is  $G = \text{AGL}_1(\mathbb{F}_7)$ . Here  $\text{Sub}(G)/G \cong [1] \times ([1] \times [1])$ , displayed in Figure 13.

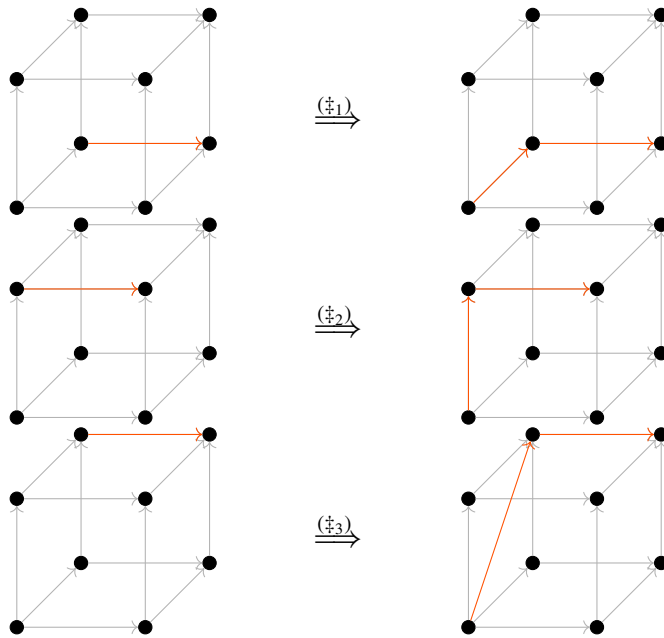
As with the previous examples, we apply Corollary 3.12 in conjunction with Remark 3.13 to obtain our lifting conditions as in Figure 14.

Note again that all other possible relations are implied by these ones due to the usual axioms for a transfer system.

Out of the 450 transfer systems on  $[1] \times [1] \times [1]$  [Balchin et al. 2020], one computes that 400 of these are transfer systems for  $\text{AGL}_1(\mathbb{F}_7)$ .

### 5. Strategies for lossy groups

In the previous sections we have explored lossless groups, and shown that they provide a convenient computational framework for computing transfer systems, especially when restricted to nicer subclasses such as metacyclic Frobenius groups. Although we’ve shown in Section 2B that several important classes of groups are lossless, more complicated groups that may arise in practice tend to be lossy.



**Figure 14.** The three restrictions needed for a transfer system on  $AGL_1(\mathbb{F}_7)$ .

Although lossy groups prevent us from working directly with  $\text{Sub}(G)/G$ , with some cleverness it may still be the case that we can obtain simpler representations of transfer systems for lossy groups. In this final section we speculatively discuss one possible strategy for dealing with lossy groups in the case of  $G = \text{SL}_2(\mathbb{F}_p)$  where  $p$  is prime.

If  $p = 2, 3$ , or  $5$ , then we have seen in Example 2.34 that  $G$  is lossless, but for  $p > 5$  these groups are always lossy. On the other hand, if  $p \equiv \pm 3 \pmod 8$  then these groups are very close to being lossless. When  $p \equiv \pm 1 \pmod 8$ , the lossy binary octahedral subgroups add to the lossyness, but even in this case  $G$  only has a few deviations from losslessness. In the speculations that follow we focus on the simple case of  $p \equiv \pm 3 \pmod 8$ , but with some work it should be feasible to extend our constructions to work in general. In Figure 15 we provide a schematic for  $\text{Sub}(G)/G$  where  $G = \text{SL}_2(\mathbb{F}_{13})$ . The general goal is to represent  $G$ -transfer systems as a pair of abstract transfer systems on two small posets related to  $\text{Sub}(G)/G$ , subject to a compatibility condition. For  $p \equiv \pm 1 \pmod 8$  one would likely need to use more than two posets, but the same general technique should still apply.

Let  $H_- \cong \text{Dic}_{(p-1)/2}$  be the normalizer of the diagonal matrices, and  $H_+ \cong \text{Dic}_{(p+1)/2}$  the normalizer of some maximal nonsplit torus. (Here  $\text{Dic}_n$  is the *dicyclic group* of order  $4n$  as in Definition 2.24). Let  $Z = H_1 \cap H_2 \cong C_2$  be the center of  $G$ .

Recall that for a group  $G$ , the *Frattini subgroup* of  $G$  is defined as intersection of all maximal subgroups of  $G$  [Gorenstein 1980, Section 5.1]. The maximal subgroups of  $\mathrm{SL}_2(\mathbb{F}_p)$  can be deduced from [King 2005] since the Frattini subgroup of  $\mathrm{SL}_2(\mathbb{F}_p)$  is equal to its center. When  $p \equiv \pm 3 \pmod{8}$ , these maximal subgroups are

- (1) normalizers of tori, which are dicyclic as described above,
- (2) Borel subgroups, which are isomorphic to  $\mathbb{F}_p \rtimes \mathbb{F}_p^\times$  with  $x \in \mathbb{F}_p^\times$  acting on  $\mathbb{F}_p$  as multiplication by  $x^2$ , and
- (3) binary tetrahedral or binary icosahedral subgroups.

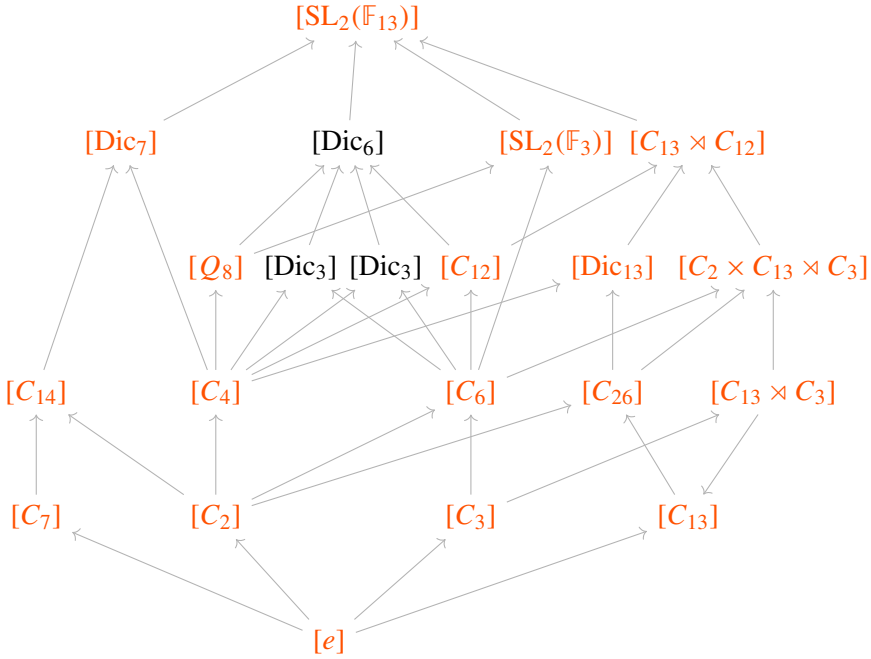
The Borel subgroups are universally lossless, and the binary tetrahedral or icosahedral subgroups are isomorphic to  $\mathrm{SL}_2(\mathbb{F}_3)$  and  $\mathrm{SL}_2(\mathbb{F}_5)$  which again are universally lossless. Furthermore, if we let  $\epsilon = \pm 1$  accordingly as  $p + \epsilon \equiv 4 \pmod{8}$ , then the normalizer of a torus of order  $p - \epsilon$  is a dicyclic group of order  $2(p - \epsilon) \equiv 4 \pmod{8}$ , and hence is also universally lossless. Thus the only nonuniversally lossless maximal subgroups are the normalizers of tori of order  $p + \epsilon$ , which are all conjugate to  $H_\epsilon$ .

By [Costantini and Jabara 2009], any two cyclic subgroups of  $G$  with the same order are conjugate. The subgroup  $H_\epsilon$  contains three conjugacy classes of subgroups isomorphic to  $C_4$ , and hence when we embed into  $\mathrm{Sub}(G)/G$  these three copies of  $C_4$  must be mapped to the same conjugacy class. But if  $C_4, {}^8C_4 \leq K \leq H_\epsilon$  is not contained in any other maximal subgroup (e.g.,  $K = H_\epsilon$  itself), then  $N_G(K) \leq H_\epsilon$ , so  $C_4, {}^8C_4$  cannot be conjugate in  $N_G(K)$ . This is what causes  $G$  to be lossy. On the other hand, when  $p \equiv \pm 3 \pmod{8}$  this appears to be the only obstacle preventing losslessness.

Let  $D_G$  be the poset  $\mathrm{Sub}(H_\epsilon)/H_\epsilon$  with an additional top vertex  $[G]$ . (For  $G = \mathrm{SL}_2(\mathbb{F}_{13})$ , this is depicted in Figure 16.) Let  $U_G \subseteq \mathrm{Sub}(G)/G$  be the subposet on objects  $[H]$  such that either  $H = G$  or  $H$  is contained in some universally lossless subgroup (depicted in Figure 15). We have natural poset maps  $\psi^D : D_G \rightarrow \mathrm{Sub}(G)/G$  and  $\psi^U : U_G \rightarrow \mathrm{Sub}(G)/G$ . Let  $I_G = (\psi^D)^{-1}(\mathrm{im} \psi^U) \subseteq D_G$  (depicted in Figure 16 for  $G = \mathrm{SL}_2(\mathbb{F}_{13})$ ). We let  $\phi^D : I_G \rightarrow D_G$  be the canonical embedding, and we let  $\phi^U : I_G \rightarrow U_G$  be the restriction of  $\psi^D$ . For any abstract transfer system  $\mathcal{R}$  on  $I_G$ , let  $\phi_*^D(\mathcal{R}) = \mathrm{im}(\phi^D|_{\mathcal{R}})$  and similarly for  $\phi_*^U(\mathcal{R})$ .

**Definition 5.1.** A *split transfer system* is a triple of categorical transfer systems  $\mathcal{R}_D, \mathcal{R}_I, \mathcal{R}_U$  on  $D_G, I_G, U_G$ , respectively, such that

- (1) if for some  $[C_4] \in D_G$  we have  $[C_4]\mathcal{R}[G]$ , then in fact  $[C_4]\mathcal{R}[G]$  for all conjugacy classes of  $[C_4] \in D_G$ , and
- (2)  $\phi_*^D(\mathcal{R}_I) = \mathcal{R}_D \cap \mathrm{im} \phi^D$  and  $\phi_*^U(\mathcal{R}_I) = \mathcal{R}_U \cap \mathrm{im} \phi^U$ .



**Figure 15.** The poset  $\text{Sub}(G)/G$  for  $G = \text{SL}_2(\mathbb{F}_{13})$ . The nonsplit torus appears as  $\text{Dic}_7$ , the split torus as  $\text{Dic}_6$ ,  $C_{13} \times C_{12}$  is the Borel subgroup and  $\text{SL}_2(\mathbb{F}_3)$  is the binary tetrahedral subgroup. The objects of the subposet  $U_G$  are highlighted in red.

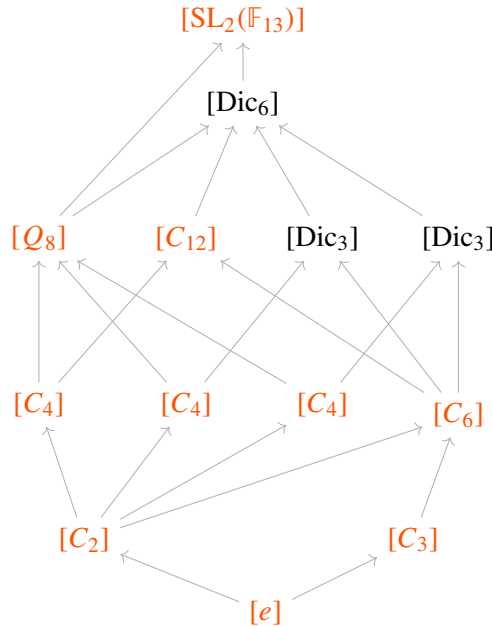
For every split transfer system  $(\mathcal{R}_D, \mathcal{R}_I, \mathcal{R}_U)$ , we can define a reflexive relation  $\mathcal{R}$  on  $\text{Sub}(G)$  as follows. Let  $K \leq H$ . If  $H = G$  and  $K \leq L$  for some universally lossless maximal subgroup  $L$ , then we set  $K\mathcal{R}H$  if and only if  $[K]\mathcal{R}_U[G]$ . If  $K \not\leq L$  for any such  $L$ , then some conjugate  ${}^sK$  of  $K$  is contained in  $H_\epsilon$ , and we set  $K\mathcal{R}H$  if and only if  $[{}^sK]\mathcal{R}_D[G]$ .

So we suppose  $H < G$ . If  $H \leq L$  for some universally lossless maximal subgroup  $L \leq G$ , then we set  $K\mathcal{R}H$  if and only if  $[K]\mathcal{R}_U[H]$ . Otherwise we can find some  $g \in G$  such that  ${}^sH \leq H_\epsilon$ . Then we set  $K\mathcal{R}H$  if and only if  $[{}^sK]\mathcal{R}_D[{}^sH]$ .

Conversely, if  $\mathcal{R}$  is a transfer system on  $G$  then we can define  $(\mathcal{R}_D, \mathcal{R}_I, \mathcal{R}_U)$  so that

- (1)  $\mathcal{R}_D = \pi_*(\mathcal{R}|_{\text{Sub}(H_\epsilon)})$ , where  $\pi : \text{Sub}(H_\epsilon) \rightarrow \text{Sub}(H_\epsilon)/H_\epsilon \subseteq D_G$ ,
- (2)  $\mathcal{R}_I = \mathcal{R}_D|_{I_G}$ , and
- (3)  $\mathcal{R}_U = \pi'_*(\mathcal{R})|_{U_G}$  where  $\pi' : \text{Sub}(G) \rightarrow \text{Sub}(G)/G$ .

The discussion of this speculative section culminates in the following conjecture. If this conjecture were true, it would provide a constructive method for exploring  $N_\infty$  operads for an interesting class of groups which are not lossless. In particular,



**Figure 16.** The poset  $D_G$  for  $G = \text{SL}_2(\mathbb{F}_{13})$ . The objects of the subposet  $I_G$  are highlighted in red.

one should not despair if their favorite group of equivariance fails to be lossless, one only needs to figure out a way to exploit the structure of the group itself.

**Conjecture 5.2.** Fix an arbitrary transfer system  $\mathcal{R}$  on  $G = \text{SL}_2(\mathbb{F}_p)$  where  $p > 5$ ,  $p \neq 11$ , and  $p \equiv \pm 3 \pmod{8}$ . Then the triple  $(\mathcal{R}_D, \mathcal{R}_I, \mathcal{R}_U)$  is a split transfer system, and  $\mathcal{R}$  is lifted from  $(\mathcal{R}_D, \mathcal{R}_I, \mathcal{R}_U)$  using the procedure above.

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SCOTT BALCHIN:

s.balchin@qub.ac.uk

Mathematical Sciences Research Centre, Queen’s University Belfast, Belfast, UK

ETHAN MACBROUGH:

emacbrough@gmail.com

Department of Mathematics and Statistics, Reed College, Portland, OR, United States

KYLE ORMSBY:

ormsbyk@reed.edu

Department of Mathematics and Statistics, Reed College, Portland, OR, United States

and

Department of Mathematics, University of Washington, Seattle, WA, United States